

**From:** [Mishaan, Jessica](#)  
**To:** [mroberts@lowey.com](mailto:mroberts@lowey.com)  
**Cc:** [Duggan, Tim](#); [Borsykowsky, David](#)  
**Subject:** response to Public Records Act request  
**Date:** Tuesday, November 10, 2020 11:03:41 AM  
**Attachments:** [2020.11.10 PRA Response to Roberts \(Securities Monitoring RFP\).pdf](#)

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Dear Mr. Roberts,

Please find the attached response to your Public Records Act request.

Thank you,

**Jessica Mishaan** | Paralegal

Office of the Attorney General | General Counsel and Administrative Law Division

109 State Street Montpelier, VT 05609-1001

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Pronouns: she/her/hers

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OFFICE OF THE ATTORNEY GENERAL  
109 STATE STREET  
MONTPELIER, VT  
05609-1001**

November 10, 2020

Matthew A. Roberts  
Lowey Dannenberg, P.C.  
44 South Broadway, Suite 1100  
White Plains, NY 10601

Mr. Roberts:

Thank you for your Public Records Act request, received on November 5, 2020. Your request was for "[a]ny formal submission received in response to Securities Litigation Monitoring and Reporting Services RFP."

There are records that will be responsive to your request, including whole or partial submissions provided to the State in response to the RFP, but they are currently withheld pursuant to 3 V.S.A. § 317(c)(15) pending the earlier of either the award and final negotiation of a contract under the RFP, or cancellation of the RFP by the State. We are happy to follow up with you on this request at that time.

To the extent you feel information has been withheld in error, you may appeal to the Deputy Attorney General, Joshua Diamond.

Sincerely,

A handwritten signature in blue ink, appearing to read "Timothy M. Duggan".

Timothy M. Duggan  
Assistant Attorney General